

RECEIVED  
CENTRAL FAX CENTER

APR 07 2006

PTO/SB/98 (03-04)

Approved for use through 07/31/2006. OMB 0851-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Angshuman SAHAApplication No./Patent No.: 09/933,991 Filed/Issue Date: August 20, 2001Entitled: System for Transporting Sub-Rate Data Over A Communication NetworkCIENA Corporation, a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

In the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Angshuman SAHA et al. To: ONI Systems Corp.  
The document was recorded in the United States Patent and Trademark Office at Reel 12534, Frame 0909, or for which a copy thereof is attached.
2. From: ONI Systems Corp. To: CIENA Corporation  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Tyler S. Brown

Signature

Tyler S. Brown

Printed or Typed Name

Chief IP Counsel, CIENA Corporation

Title

9/1/05

Date

410-885-8078

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to be (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

APR 07 2006

PTO/SB/02 (09-04)

Approved for use through 11/30/2006. OMB 0951-0035  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
- AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	09/933,991
Filing Date	August 20, 2001
First Named Inventor	Angshuman SAHA
Art Unit	
Examiner Name	
Attorney Docket Number	10.0889

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

22474

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with  
Customer Number:

22474

OR

☐ Firm or  
Individual Name

Dougherty Clements

Address

1901 Roxborough Road  
Suite 300

City

Charlotte

State

NC

Zip

28211

Country

USA

Telephone

704.366.6642

Fax

704.366.9744

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Signature

*Tyler S. Brown*

Name

Tyler S. Brown - Chief IP Counsel, CIENA Corporation

Date

9/1/05

Telephone

410-865-8078

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.34. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 38 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9129 and select option 2.

RECEIVED  
CENTRAL FAX CENTER

APR 07 2006

PATENT  
4450-0355P

## IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Angshuman SAHA et al. Conf. 7706  
Appl. No.: 09/933,991 Group: 2152  
Filed: August 20, 2001 Examiner: Unknown  
For: SYSTEM FOR TRANSPORTING SUB-RATE DATA  
OVER A COMMUNICATION NETWORK

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)  
SHOWING CHAIN OF TITLE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

CIENA Corporation, a corporation certifies that it is the assignee of the patent application or issued patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application or issued patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

- OR -

- B. ☒ A chain of title from the inventor(s), of the patent application or issued patent identified above, to the current assignee as shown below:

1. From: ONI SYSTEMS CORPORATION  
To: CIENA Corporation  
The document was filed in the Patent and Trademark Office on March 8, 2004, for which a copy thereof is attached.



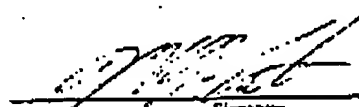
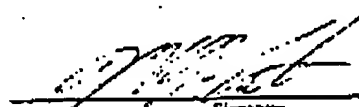
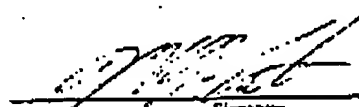
RECEIVED  
CENTRAL FAX CENTER

APR 07 2006

Atty. Docket No.: 4450-0359P  
Page 1 of 1

### RECORDATION FORM COVER SHEET

To the Director of the U.S. Patent and Trademark Office:  
Please record the attached original documents or copy thereof.

<b>1. Name of conveying party(ies):</b> <b>ONI SYSTEMS CORPORATION</b>  Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO		<b>2. Name and address of receiving party(ies)</b> Name: <b>CIENA Corporation</b> Internal Address: Street Address: <b>1201 Winterson Road</b> City: <b>Linthicum</b> State: <b>MD</b> ZIP: <b>21090</b> Country: <b>USA</b> Postal Code: Additional name(s) & address(es) attached? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO				
<b>3. Nature of conveyance:</b> <input type="checkbox"/> Assignment <input checked="" type="checkbox"/> Merger Execution Date: <b>June 21, 2002</b>		<b>4. Application number(s) or patent number(s):</b> If this document is being filed together with a new application, the execution date of the application is:  <table border="0"> <tr> <td style="vertical-align: top;"> <b>A. Patent Application No(s).</b>            10/161,217            09/933,991            09/855,822            10/027,916         </td> <td style="vertical-align: top;"> <b>B. Patent No(s).</b>               Additional numbers attached? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO         </td> </tr> </table>		<b>A. Patent Application No(s).</b> 10/161,217 09/933,991 09/855,822 10/027,916	<b>B. Patent No(s).</b>    Additional numbers attached? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	
<b>A. Patent Application No(s).</b> 10/161,217 09/933,991 09/855,822 10/027,916	<b>B. Patent No(s).</b>    Additional numbers attached? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO					
<b>5. Name and address of party to whom correspondence concerning document should be mailed:</b> Name: <b>BIRCH, STEWART, KOLASCH &amp; BIRCH, LLP</b> Street Address: <b>P.O. BOX 747</b> City: <b>FALLS CHURCH</b> State: <b>VA</b> ZIP: <b>22040-0747</b> Country: <b>USA</b>		<b>6. Total No. of applications/patents involved: four (4)</b> <b>7. Total fee (37 C.F.R. § 3.41): \$160.00</b> <input checked="" type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account, if no fee attached. <b>8. Deposit account number: 02-2448</b> (Attach triplicate copy of this page if paying by deposit account)				
DO NOT USE THIS SPACE						
<b>9. Statement and signature.</b>  <table border="0" style="width: 100%;"> <tr> <td style="width: 35%; vertical-align: bottom;"> <u>Michael R. Cammarata, #39,491</u>            Name of Person Signing/Reg. No.         </td> <td style="width: 30%; text-align: center; vertical-align: bottom;">             Signature         </td> <td style="width: 35%; text-align: right; vertical-align: bottom;"> <u>March 8, 2004</u>            Date         </td> </tr> </table>				<u>Michael R. Cammarata, #39,491</u> Name of Person Signing/Reg. No.	 Signature	<u>March 8, 2004</u> Date
<u>Michael R. Cammarata, #39,491</u> Name of Person Signing/Reg. No.	 Signature	<u>March 8, 2004</u> Date				
Total number of pages including cover sheet, attachments, and document: sixty (60)						

MRC/lpc  
(Rev. 02/13/2004)

USPTO Assignments on the Web

Page 1 of 1

RECEIVED  
CENTRAL FAX CENTER

United States Patent and Trademark Office

APR 07 2006

[Home](#) | [Site Index](#) | [Search](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)

Assignments on the Web &gt; Patent Query

## Patent Assignment Details

**NOTE: Results display only for issued patents and published applications. Pending or abandoned applications please consult USPTO staff.**

Reel/Frame: 012534/0909

Recorded: 01/23/2002

Page

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Total properties: 1

1 Patent #: NONE Issue Dt: Application #: 09933991 Filing Dt: 08/20/2

Publication #: US20030055998 Pub Dt: 03/20/2003

Title: System for transporting sub-rate data over a communication network

## Assignors

1 HENRIQUEZ, CARLOS

Exec Dt: 12/18/2001

2 ARCHAMBAULT, ANDRE

Exec Dt: 12/19/2001

3 SAHA, ANGSHUMAN

Exec Dt: 12/18/2001

## Assignee

1 ONI SYSTEMS, INC.,

5965 SILVER CREEK VALLEY RD

SAN JOSE, CALIFORNIA 95123

## Correspondence name and address

COUDERT BROTHERS LLP

DANIEL D. TAGLIAFERRI

600 BEACH STREET, THIRD FLOOR

SAN FRANCISCO, CA 94109-1312

Search Results as of: 04/07/2006 10

If you have any comments or questions concerning the data displayed, contact OPF / Assignments at 571-272-3350

| [HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)